

02/27/02

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Please type a plus sign (+) inside this box → ☒PTO/SB/05 (03-01)
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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	96700/733
First Inventor	Tamar H. Michaeli
Title	A Method of Identification of Inhibitors of PDEIC And Methods of Treatment of Diabetes
Express Mail Label No.	EL 900663551 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **51**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **5**]
5. Oath or Declaration [Total Pages **1**]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: **cover letter and formal drawings...**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)of prior application No.: **09,245,169**

Prior application information:

Examiner: **Michele Flood**Group Art Unit: **1651**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Elie H. Gendloff, Ph.D., Esq.				
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Name (Print/Type)	Elie H. Gendloff	Registration No. (Attorney/Agent)	44,704
Signature	<i>Elie H. Gendloff</i>	Date	February 27, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 370.00

Complete if Known

Application Number	unknown
Filing Date	February 27, 2002 (herewith)
First Named Inventor	Tamar H. Michaeli
Examiner Name	unknown
Group Art Unit	unknown
Attorney Docket No.	96700/733

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number
01-1785
Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	370.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 10
Independent Claims 1
Multiple Dependent
Extra Claims 20** = 0 X Fee from below 9 = 0
3** = 0 X 42 = 0
= 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

Name (Print/Type)	Elie H. Gendloff	Registration No. (Attorney/Agent)	44,704	Telephone	212-697-5995
Signature		Date	February 27, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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AMSTER, ROTHSTEIN & EBENSTEIN

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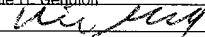
JERRY DAINOW

February 27, 2002

via Express Mail

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Washington, DC 20231

Box PATENT APPLICATION

"Express Mail" mailing label No. EL 900663551 US
Date of Deposit: February 27, 2002
I hereby certify that this paper or fee is being deposited
with the United States Postal Service "Express Mail Post Office
to Addressee" service under 37 CFR 1.10 on the date
indicated above and is addressed to the Commissioner for
Patents, Washington, D.C. 20231.
Name: Elie H. Gendloff
Signature: 

Re: New Utility Patent Application -- Continuation of 09/245,169
Title: A METHOD OF IDENTIFICATION OF INHIBITORS OF PDE1C
AND METHODS OF TREATMENT OF DIABETES

Inventor: Tamar H. Michaeli

Our File: 96700/733

Dear Sir:

Enclosed please find the following documents for filing with the above-identified utility patent application in the name of Tamar H. Michaeli, entitled A METHOD OF IDENTIFICATION OF INHIBITORS OF PDE1C AND METHODS OF TREATMENT OF DIABETES, comprising the following:

1. "Utility Patent Application Transmittal" (Form PTO/SB/05) (1 page);
2. "Fee Transmittal for FY 2002" (Form PTO/SB/17) (1 page);
3. a Preliminary Amendment (6 pages) with attached Appendix (1 page);
4. a copy of the utility patent application as filed in the parent case, a specification (47 pages), claims (3 pages), abstract (1 page), and formal drawings (5 sheets);
5. a copy of the Declaration as filed in the parent case (1 page);
6. a copy of the Small Entity Statement (37 CFR 1.27) as filed in the parent case (1 page);

February 27, 2002


7. a copy of the Information Disclosure Statement By Applicant as filed in the parent case (2 pages);
8. a paper copy of the Sequence Listing as filed in the parent case (3 pages); and
9. a return receipt postcard.

Please acknowledge receipt of the enclosed papers by stamping the enclosed postcard and returning the same to us.

Respectfully submitted,

AMSTER, ROTHSTEIN & EBENSTEIN
Attorneys for Applicant
90 Park Avenue
New York, New York 10016
(212) 697-5995

Dated: February 27, 2002
New York, New York

By: 
Elie H. Gendloff
Registration No. 44,704

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tamar H. Michaeli
Serial No. : 09/245,169
Filed : February 5, 1999
For : A METHOD OF IDENTIFICATION OF INHIBITORS OF
PDE1C AND METHODS OF TREATMENT OF DIABETES

STATEMENT PURSUANT TO 37 C.F.R. § 1.27

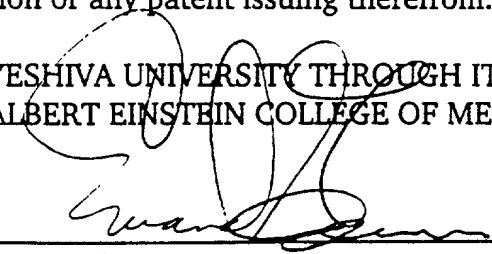
The undersigned hereby declares that:

1. I am the Associate Dean for Business Affairs of Yeshiva University and have authority to act on behalf of Albert Einstein College of Medicine of Yeshiva University, a division of Yeshiva University.
2. Albert Einstein College of Medicine of Yeshiva University qualifies as a non-profit organization as defined in 37 C.F.R. 1.9(e)(1).
3. All right, title and interest in and to the above-identified invention has been assigned to and remains with Albert Einstein College of Medicine of Yeshiva University.

The undersigned further declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

YESHIVA UNIVERSITY THROUGH ITS
ALBERT EINSTEIN COLLEGE OF MEDICINE

Date: 3/23/99


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